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|-----------------|------------------|--------------|-------------|
| 08/19/2003      | 00000073         | <u>1</u>     | <u>1001</u> |
| 01/07/2004      | 00000010         | <u>1</u>     | <u>1051</u> |

Fee Amount Mailroom Date

\$130.00 12/04/2003

\$750.00 08/18/2003 CK DA 061205 STATUS AND BATTA

03500.014437.1

### PATENT APPLICATION

# 2001 HAR 22 PN 4:33 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| The Application of:         |                              |
|-----------------------------|------------------------------|
| JUNICHI YAMAYOSHI           | Examiner: Not Yet Assigned ) |
| Application No.: 10/642,232 | : Group Art Unit: 2882<br>)  |
| Filed: August 18, 2003      | ;                            |
| For: IMAGE SENSING SYSTEM   | ) March 17, 2004             |

COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, VA 22313-1450

### REQUEST FOR REFUND

Sir:

In connection with the above-identified application, Applicant requests a refund of \$130.00 for the fee for late filing of the declaration, which was erroneously charged to our Deposit Account 06-1205. It is requested that the refund be applied as a credit to that Deposit Account. The reason for the refund is explained below.

On November 11, 2003, the USPTO mailed a Notice to File Missing Parts of Non-Provisional Application. On December 3, 2003, Applicant submitted a Response to Notice To File Missing Parts. However, Applicant believes that the original Notice was issued in error because the application was filed with a copy of the executed Combined Declaration and Power of Attorney for U.S. Application No. 09/553,859, filed April 21, 2000, which is the parent application of this divisional application (see attached copy of the

STATUS AND ENTITY

Notice, Response, Declaration, and postcard acknowledging receipt of the Declaration at 2014 MAR 22 PM 44: 33 the time the application was filed). Therefore, no surcharge were due at the time.

Applicant's attorneys received a Monthly Statement of Deposit Account, dated January 2004, (copy attached) indicating that Deposit Account No. 06-1205 was charged \$130.00. It is respectfully submitted that this charge is not warranted.

Accordingly, Applicant hereby requests a refund and authorizes the Commissioner to credit Deposit Account No. 06-1205 in the amount of \$130.00 to resolve this matter.

Applicant's undersigned attorney may be reached in our New York office by telephone at (212) 218-2100. All correspondence should continue to be directed to gar

Respectfully submitted,

Attorney for Applicant

Registration No. 9

FITZPATRICK, CELLA, HARPER & SCINTO 30 Rockefeller Plaza
New York, New York 10112-3801
Facsimile: (212) 218-2200

NY\_MAIN 414326v1

below listed address.

REFUND BRANCE

Q3500.014437.1

PATENT APPLICATION Pil 4: 33

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re A | pplication of:        | ) ·                     |   |
|---------|-----------------------|-------------------------|---|
| JUNIC   | HI YAMAYOSHI          | Examiner: NYA           |   |
|         | ation No.: 10/642,232 | : Group Art Unit: 2882  | 2 |
| Filed:  | August 18, 2003       | <b>;</b>                |   |
| For:    | IMAGE SENSING SYSTEM  | :<br>) December 3, 2003 |   |

Box Missing Parts Commissioner for Patents Washington, D.C. 20231

ATTENTION: APPLICATION PROCESSING DIVISION, SPECIAL

PROCESSING AND CORRESPONDENCE BRANCH

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

Sir:

Applicant has received a NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION mailed November 13, 2003, stating the oath or declaration is unsigned. Applicant believes this Notice was issued by mistake because the above-identified application was filed with a copy of the executed Combined Declaration and Power of Attorney (copy enclosed) for U.S. Application No. 09/553,859 filed April 21, 2000, which is the parent application to this divisional application, as evidenced by the returned receipt postcard bearing the stamp of the Patent and Trademark Office, a copy of which is attached hereto. Applicant respectfully submits that this Response is fully compliant with the Notice and that no further submission of another executed oath is required.

STATUS AND ENTITY

Applicant's undersigned attorney may be reached in our New York office by 2004 MAR 22 PM 4: 33 telephone at (212) 218-2100. All correspondence should continue to be directed to our address given below.

Respectfully submitted,

Attorney for Applicant

Registration No. 29.296

FITZPATRICK, CELLA, HARPER & SCINTO 30 Rockefeller Plaza
New York, New York 10112-3801
Facsimile: (212) 218-2200

NY\_MAIN 392817v1

# 'MBINED DECLARATION AND POWER OF AT' YEY FOR PATENT APPLICATION (Page 1)

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

| I believe I am the<br>names are listed below)<br>IMAGE SENSI          | ne original, first and sole inventor of the subject matter which is consistent of the SYSTEM.   | or (if only one name is listed by laimed and for which a patent in the control of | elow) or an original, first<br>is sought on the invention | nt and joint inventor (if plural<br>on entitled  |
|---|---|--|---|--|
| the specification of whi  | •   |  |   | as United States   |
| Application No. or PCT and was amended on                             | International Application No.   |  |   | (if applicable).   |
| I hereby state the  | at I have reviewed and understan<br>red to above.   | d the contents of the above-ider   | ntified specification, incl                               | uding the claims, as amended   |
| l acknowledge t   | he duty to disclose information   | which is material to patentabil  | lity as defined in 37 CFF                                 | R § 1.56.  |
| certificate, or § 365(a) or<br>and have also identified t             | oreign priority benefits under 35 f any PCT international applica below any foreign application fo ation on which priority is claim                                     | tion which designates at least o<br>r patent or inventor's certificate   | one country other than th                                 | e United States, listed below  |
| Country   | Application No.   | Filed (Day/Mo./Yr  | 3   | (Yes/No) Priority Claimed  |
| <u>Country</u><br>Japan   | 11-119629   | 27/04/99   | <b></b>   | Yes  |
| prior United States or PC<br>to disclose information v                | States, listed below and, insofar<br>T international application in the<br>which is material to patentability<br>to national or PCT international in<br>Application No. | ne manner provided by the first as defined in 37 C.F.R. § 1.56   | paragraph of 35 U.S.C. {<br>which became available        | 112, I acknowledge the duty  |
| I hereby appoint to transact all business in associated with that Cus | t the practitioners associated wi<br>the Patent and Trademark Offic<br>torner Number:<br>FITZPATE   | th the firm and Customer Num the connected therewith, and directed therewith, and directed therewith, and directed therewith, and directed the customer Number: 05514  | ect that all corresponden                                 | prosecute this application and<br>see be addressed to the address  |
| are believed to be true; a punishable by fine or im                   | that all statements made herein<br>and further that these statements<br>prisonment, or both, under Sect<br>dity of the application or any pa                            | of my own knowledge are true a<br>were made with the knowledge<br>ion 1001 of Title 18 of the Uni  | e that willful false staten                               | nents and the like so made are   |
| Full Name of Sole or Fi   | rst Inventor_JUNICHI_   | YAMAYOSHI  |   | <del> </del>   |
| Inventor's signature  |   |  |   | <u> </u>   |
| Date  | <u> </u>  | Citizen/Subject of   | Japan   | Service of the servic |
| Residence 7-10-   | 809, Minami U   | rawa 3-chome,  | <u>Urawa-shi.</u>   | Saitama-ken.   |
| Japan   |   |  |   | <u> </u>   |
| Post Office Address_C   | o canon kabus   | HIKI KAISHA  | <del></del>   |  |
| an a chim   | omaruko 3-chom  | e Ohta-ku. To  | okvo. Japan   |  |

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-2014 MAR 22 PM 4: 33

| names are listed below) o                                  | f the subject matter which<br>SING SYSTEM               | th is claimed and (                          | o mamo is maco<br>lor which a pat   | eat is sou             | r an original,<br>ght on the in | first and joint inventor (if plura   |
|--|---|--|-------------------------------------|------------------------|---------------------------------|--|
|  |   |  |                                     |                        |                                 | · · · · · · · · · · · · · · · · · · ·  |
| the specification of which                                 | is attached becato                                      | X was filed o                                | April                               | 21,                    | 2000                            | as United States Application   |
| No. or PCT International                                   | Application No.   | 09/5   | 23,839                              |                        | ····                            | <u> </u>   |
| and was amonded on   | · · · · · · · · · · · · · · · · · · ·                   | · · · · · · · · · · · · · · · · · · ·        | <u>······</u>                       |                        |                                 | (if applicable).   |
| I héreby state the   | et I have similared and i                               | understand the sec                           | etante of the cl                    | hann Ídán              |                                 | stion, iscluding the claims, as  |
| amended by any amendm                                      | ect referred to above.                                  |  |                                     | NO 10 BOOK             | amen shectile                   | scion, nativating the civilins, as   |
| I acknowledge the  | dete to displace inform                                 |  |                                     |                        |                                 |  |
| I actiowedge dis   | duty to disclose inform                                 | emost America in Sust                        | ernal to patental                   | bility as d            | etined in 37 (                  | CFR §1.56.   |
| MIVERIOL'S COLUMNICATE OF A                                | 300(a) of any PCT inte<br>o identified below any f      | continual application<br>October application | on which design<br>for netent or is | nates at la            | tain and the                    | gn application(s) for patent or<br>ry other than the United States,<br>r-PCT international application |
| Contrata :   |   |  |                                     |                        |                                 | (Yes/No)   |
| Country  | Application No.   |  | ed (Day/Mo./)                       | <u>ព</u> ា ,           |                                 | Priority Claimed   |
| JAPAN  | 11-119629   | 2  | 7 April                             | 1999                   |                                 | YES  |
| to transact all business in<br>address associated with the | the Patent and Tradema                                  | d with the first to<br>the Office connect    | d Customer Nea<br>ad therewish, as  | mber prov<br>nd direct | ided below to<br>that all corre | prosecuts this application and<br>appaidence be addressed to the                                       |
|  | PITEP   | ATRICK, CELLA<br>Customer Nu                 |                                     | 9CINTO                 | •                               |  |
| denet are depended to be to                                | ue; and firther that their<br>is or imprisonment, or be | dalaments were<br>th, under Section          | made with the i                     | inoviole<br>8 of the U | that willful i                  | neats made on information and<br>false statements and the like so<br>code and that such willful false  |
| Pull Name of Sole or First                                 | Inventor  |  | JUNICH                              | YAM                    | AYOSHI                          |  |
| Investor's signature                                       | Sunit   | Brome  | stor hi                             |                        |                                 |  |
| Dato   | 00 June   | 2000 Car                                     | /<br>Subject of                     | J                      | APAN .                          |  |
| Residence 707 Pro  | montry Driv   | 8  |                                     |                        |                                 | •  |
|  | wport Beach   |  | 660 U. :                            | S. A.                  |                                 |  |
| Post Office Address 30-2, S                                | c/o Canon Ka<br>Shimomaruko                             | bushiki<br>3-chome,                          | Kaisha<br>Ohta-k                    | u, T                   | okyo,                           | Japan  |
| Full Name of Second Joins                                  | Inventor, if any  |  |                                     |                        |                                 |  |
| second Inventor's signature                                | 6 <u>:</u>  | :  |                                     |                        |                                 |  |
| Dato   | 1.2   | Citiza                                       | /Subject of                         |                        |                                 |  |
| Peridonos  | 3.50<br>()  |  |                                     | <del></del>            |                                 |  |



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201 MAR 22 PM-4 33

|        | Meil STop PATENT HIPW.  Commissioner for Patents  Date  | ,/5,09                            |
|--------|---|-----------------------------------|
|        | P.O. Box 1450<br>Alexandria, VA 22313-1450 Aux. Decar   | QD.0/4437.1                       |
|        | Sir.  Kindly acknowledge receipt of the accompanying.   | 7 09/559.859                      |
|        | A Specifications, claims and abstractpages, with Transmore Parent Application Bibliographic Data Sheet sheets sheets                  | 19979 U.S. Pro                    |
| 8<br>¥ | Sheets of Sormal informal drawings Check for \$ 250, (filing fee)   | 11/4223                           |
|        | ☐ Request for Continued Examination and Check for \$ ☐ Assignment, PTO-1595 and Check for \$ ☐ Transmittal Under 37 CFR 1.53(d) (CPA) | -075765                           |
|        | Detition under 37 CFR 1.136 and check for \$  Other (specify) P. Lathery Asserblus TPS + PTS - NUMBER 1.136                           | Lette Transmitting Control tolong |
|        | by placing your receiving date stamp-hereon and mattling or return This is a Continuation Divisional Continuation-In-Part             | 37 CPR 1.8 🔲                      |
| ···    | Due Dage A/A / N  | 37 CFR 1.10 [] By Hand 52         |



PM 4: 33 2004 MAR

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNBY DOCKET NUMBER

10/642,232

08/18/2003

Junichi Yamayoshi

03500.014437.1

05514

FITZPATRICK CELLA HARPER & SCINT FILE NO.

30 ROCKEFELLER PLAZA

NEW YORK, NY 10112

080001447 **ATTORNEY** 

1/13/04 **DUE DATE** 

1//16/07 DOCKETED

**CONFIRMATION NO. 2988** 

**FORMALITIES LETTER** 

OC000000011260533\*

Date Mailed: 11/13/2003

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

### **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given THO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

#### **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$130 for a Large Entity

\$130 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

**Commissioner for Patents** 

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 1 - ATTORNEY/APPLICANT COPY

STATUS AND ENTITY BRANCH

2014 MAR 22 PM 4: 33



STATUS AND ENTITY BRANCH

204 MAR 22 PM 4: 33



## **Deposit Account Statement**

**Requested Statement Month:** 

Deposit Account Number:

Name:

Attention:

Address:

City: State:

Zip:

January 2004

061205

FITZPATRICK CELLA HARPER & SCINTO

**30 ROCKEFELLER PLAZA** 

**NEW YORK** 

NY

10112-3801

| DATE SEQ  | POSTING<br>REF TXT | ATTORNEY<br>DOCKET<br>NBR | FEE<br>CODE | AMT        | BAL         |
|-----------|--------------------|---------------------------|-------------|------------|-------------|
| 01/02 2   | 09986914           | 1263.1607                 | 1806        | \$180.00   | \$49,909.00 |
| 01/05 35  | 10745805           | 03500,017837              | 1202        | \$6.00     | \$49,903.00 |
| 01/05 40  | 29192006           | 02105002490 - APFORCE     | 8007        | \$1,200.00 | \$48,703.00 |
| 01/05 43  | 29189679           | 02105002480 - APFORCE     | 8007        | \$1,200.00 | \$47,503.00 |
| 01/06 1   | 09532076           | 35.C14387                 | 1253        | \$930.00   | \$48,573.00 |
| 01/06 1   | 60509046           | 00005.001217.2            | 1005        | \$160.00   | \$48,413.00 |
| 01/06 2   | 60509046           | N III                     | 1052        | \$50.00    | \$46,363.00 |
| 01/07 10  | 10642232           | 03500 014437.1            | 1051        | \$130.00   | \$46,233.00 |
| 01/07 28  | 76076965           | 1915T106A                 | 6004        | \$150.00   | \$46,083.00 |
| 01/07 168 | 10748208           | 03500.013552.1            | 9204        | -\$86.00   | \$46,169.00 |
| 01/07 650 | 78348618           | 1790.820                  | 7001        | \$335.00   | \$45,834.00 |
| 01/07 688 | 78348641           | 1790.830                  | 7001        | \$335.00   | \$45,499.00 |
| 01/07 695 | 78348645           | 1790.840                  | 7001        | \$335.00   | \$45,164.00 |
| 01/07 707 | 78348652           | 1790.850                  | 7001        | \$335.00   | \$44,829.00 |
| 01/08 1   | 10472148 🔭 🏦       | 00005.001223.             | 1615        | \$126.00   | \$44,703.00 |
| 01/08 5   | 10363145           | 00766.006070              | 9204        | -\$168.00  | \$44,871.00 |
| 01/08 40  | 09863424           | 1263.1605                 | 1806        | \$180.00   | \$44,691.00 |
| 01/08 41  | 09863424           | 1263,1605                 | 1201        | \$344.00   | \$44,347.00 |
| 01/08 42  | 09863424           | 1263.1605                 | 1202        | \$126.00   | \$44,221.00 |
| 01/08 43  | 09863424           | 1263.1605                 | 1252        | \$420.00   | \$43,801.00 |
| 01/08 56  | 09984437           | 35.C15901                 | 1203        | \$290.00   | \$43,511.00 |
| 01/08 95  | 6623759            | 02244001900               | 8010        | \$25.00    | \$43,486.00 |
| 01/08 96  | 6623759            | 02244001900               | 8008        | \$200.00   | \$43,286.00 |
| 01/08 101 | 09523684           | 1807.1092                 | 1252        | \$420.00   | \$42,866.00 |
| 01/08 145 | 09385430           | 862.2988                  | 1806        | \$180.00   | \$42,686.00 |
| 01/08 197 | 09392742           | 862.3022                  | 1501        | \$1,330.00 | \$41,356.00 |
| 01/08 198 | 09392742           | 862.3022                  | 8001        | \$15.00    | \$41,341.00 |
| 01/08 199 | 09385430           | 862.2988                  | 1501        | \$1,330.00 | \$40,011.00 |